

The Effects of Priority Levels and Buffering on the Statistical Multiplexing of Single-Layer H.264/AVC and SVC Encoded Video Streams

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Abstract—H.264/Advanced Video Coding (AVC) employs classical bi-directional encoded (B) frames that depend only on intra-coded (I) and predictive encoded (P) frames. In contrast, H.264 Scalable Video Coding (SVC) employs hierarchical B frames that depend on other B frames. A fundamental question is how many priority levels single-layer H.264 video encodings require when the encoded frames are statistically multiplexed in transport networks. We conduct extensive simulation experiments with a modular statistical multiplexing structure to uncover the impact of priority levels for a wide range of multiplexing policies. For the bufferless statistical multiplexing of both H.264/AVC and SVC we find that prioritizing the frames according to the number of dependent frames can increase the number of supported streams up to approximately 8%. In contrast, for buffered statistical multiplexing with a relatively small buffer size, frame prioritization does generally not increase the number of supported streams.

Index Terms—Frame dependencies, H.264/AVC, H.264 SVC, multiplexing policy, statistical multiplexing.

I. INTRODUCTION

THE advanced coding mechanisms in H.264/Advanced Video Coding (AVC) achieve higher rate-distortion (RD) efficiency compared to earlier MPEG video coding, while the additional enhancements in H.264 Scalable Video Coding (SVC) further improve the RD efficiency over H.264/AVC [1]–[4]. H.264/AVC employs by default the classical prediction structure for bi-directional encoded (B) frames, whereby B frames are encoded with bi-directional predictive encoding from intra-coded (I) frames and forward-predictive encoded (P) frames. With the classical B frame prediction structure, B frames are not predictive encoded from other B frames. In contrast, H.264 SVC employs a hierarchical B frame prediction structure where some B frames are bi-directionally predictive encoded from other B frames according to a B frame hierarchy, as detailed in Section III. Whereas a loss of a B frame during network transport does not affect other frames in an

H.264/AVC encoding, the loss of a B frame in an H.264 SVC encoding may hinder the decoding of other dependent B frames that are predictive encoded from the lost B frame. Generally, during network transport, video frames with many dependent frames may be transmitted with higher priority to increase the chances of their intact delivery. Since the frame dependency structures with classical and hierarchical B frame prediction are fundamentally different, it is important to investigate how many priority levels are needed for efficient network transport.

In this study, we consider a wide range of elementary statistical multiplexing policies. We evaluate the maximum number of video streams that can be supported with given link capacities for a prescribed limit on the fraction of lost video encoding bits. Throughout, we consider single-layer (non-scalable) encodings with fixed quantization scales. The resulting video encodings have nearly constant video quality and variable video traffic bit rates. By considering variable bit rate encoding without the use of rate control mechanisms we are able to examine the fundamental statistical multiplexing characteristics of the H.264 SVC and H.264/AVC video encodings, whose standards do not specify a normative rate control mechanism. Additionally, the statistical multiplexing gains achieved with variable bit rate streams improve the efficiency of video network transport [5].

We find for both encodings with classical B frames and with hierarchical B frames that more priority levels increase the number of supported streams in a bufferless statistical multiplexer. On the other hand, the number of supported streams is not increased by more priority levels in a buffered statistical multiplexer. We also find that for both classical and hierarchical B frames, a small multiplexer buffer significantly increases (in many scenarios doubles) the number of supported streams compared to bufferless statistical multiplexing.

II. RELATED WORK

In this section we briefly review related work on multiplexing and prioritization during the network transport of video encoded with classical and hierarchical B frames. The network transport of H.264 encoded video has received significant attention recently, whereby a focus has been on exploiting the SVC scalability features to adapt to specific layers of the network protocol stack. For instance, adaptations for the transport layer using bandwidth estimation and congestion control mechanisms have been explored in [6]–[9]. Adaptations to the wireless channel through intelligent scheduling policies have

Manuscript received February 09, 2010; revised April 12, 2010; accepted April 14, 2010. Date of publication May 27, 2010; date of current version August 20, 2010. This work was supported in part by the National Science Foundation under Grant CRI-0750927.

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Digital Object Identifier 10.1109/TBC.2010.2049610

been studied in [10]–[15]. Network coding techniques that divide the video packets into separate channels and apply unequal error protection have, for instance, been examined in [16], [17]. Traffic splitting techniques based on SVC layer information and dynamic frame-priority based dropping techniques have also received interest, see for instance [18]–[22]. Complementary to these existing studies, we examine the fundamental statistical multiplexing behaviors of both video encoded with classical and hierarchical B frames with a varying number of priority levels.

While many studies on video network transport neglect the frame dependencies due to the predictive encoding, e.g., [1], [23]–[25], several other studies have explored issues surrounding the frame dependencies. For instance, a rate shaping method for streaming of H.263 with consideration of frame dependencies is developed in [26]. A packet scheduling scheme for layered MPEG-4 video which considers the frame type is developed in [27]. New GoP structures that reduce inter-frame dependency coding overheads in H.264/AVC are proposed in [28]. In contrast to the existing studies, we investigate the impact of the frame encoding dependencies of both classical and hierarchical B frames on the statistical multiplexing performance.

Statistical multiplexing of encoded video streams can be conducted with or without coordinating the encoders of the multiplexed streams. The studies [29], [30] explore statistical multiplexing with coordinated encoders whereby the video qualities (encoding quantization parameters) of the individual streams are adapted such that the aggregate video traffic fits into the available network bandwidth. In contrast, we study statistical multiplexing without encoder coordination, where the encoding parameters are kept constant. A section of the study [30] explores multiplexing without encoder coordination, whereby P-frames are dropped randomly. The study [11] examined statistical multiplexing with a fixed number of priority levels without encoder coordination for two 300-frame video test sequences in the context of an 801.11e wireless network. In contrast, in this study, we examine statistical multiplexing without encoder coordination with five long (over 15 000 frames) video sequences for different numbers of priority levels for general bufferless and buffered multiplexing systems.

III. FRAME DEPENDENCIES WITH CLASSICAL AND HIERARCHICAL B FRAMES

In this section we give an overview of the dependencies of video frames encoded with classical B frames (used by default in H.264/AVC) and hierarchical B frames (used in H.264 SVC).

With classical B frame encoding, the frames in the example depicted in Fig. 1 are encoded in the order *IPBBBPBBPBB-BIBBB*. The first I frame is used for the predictive encoding of all the P and B frames of the depicted GoP. In addition, the I frame on the right in Fig. 1 is used for the prediction of the three rightmost B frames (and hence is encoded before these three B frames). Generally, in a GoP with a total of g frames, and with b B frames between successive I and P frames, a given I frame is used as a prediction reference for the b B frames preceding the I frame in the display order as well as the $g - 1$ P and B frames succeeding the I frame in the display order. Thus, the loss of an I frame results in the loss of all these $b + g - 1$ dependent frames.

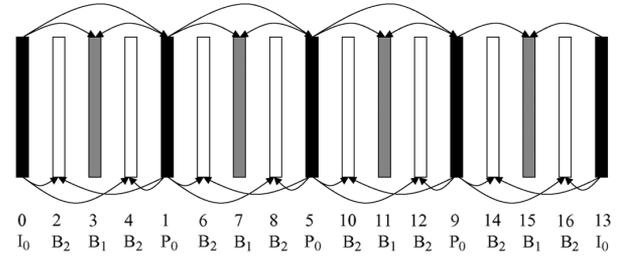


Fig. 1. Illustration of frame dependencies with classical B frames for an example with $g = 16$ frames in a GoP and $b = 3$ B frames between successive I and P frames. The frames are depicted in the display order. The encoding order is given by the top row of numbers, and the bottom row indicates the frame type in the display order.

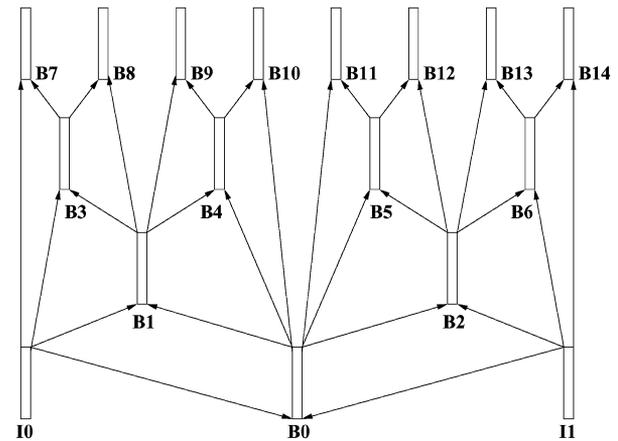


Fig. 2. Hierarchical B frames in encoding order with GoP structure with 15 B frames and no P frames.

A given P frame is used for the (backward) predictive encoding of the immediately preceding b B frames as well as the (forward) predictive encoding of all the following P and B frames in the GoP. For example, the loss of the middle P frame in Fig. 1 affects a total of one other P frame and nine B frames. Finally, with classical B frame encoding, B frames are not used for the predictive encoding of other B frames. Thus, the loss of a B frame does not have any impact on other frames.

With hierarchical B frame encoding [31], B frames are used for the predictive encoding of other B frames resulting in a dyadic hierarchy of B frames. For the example GoP structure with 15 B frames and no P frames, we can represent the hierarchical B frame dependencies in the form of a tree, as illustrated in Fig. 2. The B0 frame in the middle of the GoP forms the root of the tree, i.e., all other B frames in the GoP depend on this B0 frame. Generally, a given B frame is used for the predictive encoding of all its dependent frames in the tree structure. For instance, a loss of frame B4 affects frames B9 and B10.

IV. STATISTICAL MULTIPLEXING SYSTEM

In order to obtain fundamental insights into the statistical multiplexing behavior we consider a modular generic multiplexing system consisting of a drop module, a priority module, a multiplexing module, and a receiver module. Throughout the multiplexing system, time is slotted with one time slot equal to the duration of one video frame period T , which is $T = 1/30$ s for the NTSC frame rate of 30 frames/s. At the beginning of

each frame period (time slot), each of the J multiplexed streams presents one frame to the multiplexing system. The drop module may instantaneously drop video frames that depend on frames that have been lost. The priority module instantaneously orders the J frames and instantaneously places them in the established order into the buffer of the multiplexing module. The priority module does not store any video frames. Any frame (or part of a frame) that does not fit into the multiplexing buffer is lost. The multiplexing module transmits the frames from the buffer onto the channel.

A. Video Stream Model

Each video stream j , $j = 1, \dots, J$, is characterized by a sequence of frame sizes $X_n(j)$ [bit] with n , $n = 1, \dots, N$, denoting the frame number. Note that the bit rate required to transmit frame n of stream j during one frame period of length T is $X_n(j)/T$. Note further that the average frame size is $X_{\text{avg}}(j) = (1/N) \sum_{n=1}^N X_n(j)$. To model the random starting times (offsets) of the ongoing J video streams, we let $\theta_m(j)$, $j = 1, \dots, J$, be random variables denoting the frame numbers of the J streams that are transmitted during a given frame period (time slot) m . In each frame period, each video stream feeds its next video frame into the multiplexing system. More specifically, for a given multiplexing experiment, we stream J identical video sequences in encoding order, whereby the starting phase for each stream is randomly selected according to a uniform distribution over all M frames of the sequence [32], [33]. The streams are wrapped around to obtain streams of equal lengths.

B. Drop Module

We consider (i) a no-drop policy (ND), which does not drop any frames, not even a frame that depends on a lost frame, and (ii) a drop policy (DP), which drops frames that are predictive encoded with respect to a frame that has been lost, i.e., did not fit fully into the multiplexing buffer.

C. Priority Module

The priority module instantaneously orders the J frames of the ongoing streams and feeds them into the multiplexing module.

1) *No Priorities (NP)*: With the NP policy, the J frames are fed in random order into the multiplexing module.

2) *Frame Type Priority (TP)*: The TP policy orders the frames such that I frames are placed first, i.e., with highest priority in the multiplexer buffer, followed by the P frames, which in turn are followed by the B frames. Within a given frame type, the frames are ordered randomly.

3) *Full Priority (FP)*: The FP policy arranges the frames in decreasing order of the number of dependent frames, i.e., the frames with more dependent frames enter the multiplexer buffer before frames with fewer dependent frames. In particular, for the classical B frame encoding example with $g = 16$ frames in the GoP and $b = 3$ B frames between I and P frames (see Fig. 1), the I frames have top priority, followed by the P frames that are first in their respective GoPs, followed by the P frames that are in the middle of the GoP, followed by the P frames that are last in the GoP, followed by the B frames. For the hierarchical B frame

example with $b = 15$ B frames (see Fig. 2), I frames have top priority, followed by the “middle” B frame B0, followed by the B1 and B2 frames, followed by the B3, B4, B5, and B6 frames, followed by the remaining B frames.

D. Multiplexing Module

The multiplexing module transmits the frames in its buffer in first-come-first-served manner onto the channel with capacity C [bit/s], which can drain CT [bit] from the multiplexer buffer in one video frame period (time slot) of duration T . Note that the stability limit, i.e., the absolute maximum number of streams of video j that the channel can support is given by $CT/X_{\text{avg}}(j)$.

1) *Bufferless Multiplexing*: We first consider a “bufferless” multiplexer [33]–[36], which has no buffer beyond the CT [bit] buffer space needed to hold the bits transmitted in one time slot.

If the drop module does not drop any frames (ND), then the aggregated bit rate in time slot m for the statistically multiplexed J streams is given by

$$R_m = \sum_{j=1}^J \frac{X_{\theta_m(j)}(j)}{T}. \quad (1)$$

If the aggregate bit rate R_m exceeds the link capacity C , then loss occurs at the bufferless multiplexer, which we measure as the information loss probability [33], [36], i.e., the long-run fraction of lost video bits

$$P_{\text{loss}}^{\text{info}} = \frac{E[(R_m - C)^+ \times T]}{E[R_m \times T]} = \frac{E[(R_m - C)^+]}{E[R_m]}, \quad (2)$$

where $[x]^+ = \max(0, x)$. If the drop module drops frames (DP), then we define the information loss probability $P_{\text{loss}}^{\text{info}}$ as the long-run ratio of the number of bits dropped in the drop module plus the number of bits lost due to buffer overflow to the total aggregate number of bits entering the multiplexing system.

2) *Buffered Multiplexing*: With bufferless multiplexing, i.e., a multiplexer buffer of size CT [bit], the multiplexer buffer is completely emptied at the end of each time slot. If the multiplexer buffer is larger than CT , the multiplexer buffer may not completely drain by the end of a time slot, carrying bits over from one time slot to the next. We refer to the multiplexing with a buffer size larger than CT as *buffered multiplexing*.

If the drop module does not drop any frames, R_m given in (1) denotes the aggregate bit rate [in bit/s] of the J ongoing video streams in time slot m entering the multiplexing module. Further, let b_{m-1} denote the buffered video traffic [in bit] at the end of the preceding frame period $m-1$ (i.e., at the beginning of frame period m), and note that traffic is served at bit rate C . Then, the amount of buffered video traffic at the end of frame period m is obtained as

$$b_m = \min \left\{ [b_{m-1} + (R_m - C)T]^+, B \right\}, \quad (3)$$

where B denotes the buffer capacity [in bit]. The amount of lost video bits during frame period m is given by $[b_{m-1} + (R_m - C)T - B]^+$ and the expected long run fraction of lost bits gives the information loss probability $P_{\text{loss}}^{\text{info}}$. If the drop module drops video frames, then both the dropped frames and the video frame bits lost due to buffer overflow are included in the evaluation of $P_{\text{loss}}^{\text{info}}$.

TABLE I
 J_{\max} VALUES FOR *Sony Demo* WITH $C = 20$ Mbps

QP	PSNR [dB]	bufferless mux.			buffered mux., $B = 192$ kByte						Stab. lim. $\frac{CT}{X_{\text{avg}}}$					
		DD NP	DD TP	DD FP	ND-NP RD	ND-FP RD	ND-NP RC	ND-FP RC	ND-NP RC	ND-FP RC						
H.264 SVC, $\epsilon = 10^{-5}$																
32	37.8	4	4	4	4	4	5	5	49	49	49	48	50	50	50	63
38	34.0	22	22	22	22	22	24	24	108	108	108	108	108	108	108	123
42	31.6	59	59	59	58	59	63	64	178	178	178	178	178	179	179	196
H.264/AVC, $\epsilon = 10^{-5}$																
28	37.3	6	6	6	6	6	8	8	41	41	41	41	41	41	41	52
34	33.2	30	30	30	29	30	33	34	99	99	99	99	99	99	99	114
38	30.7	77	77	78	76	78	81	81	177	177	177	177	177	177	177	197
H.264 SVC, $\epsilon = 10^{-3}$																
32	37.8	13	13	13	13	13	15	15	56	56	56	56	57	56	57	63
38	34.0	45	45	45	44	45	47	47	115	115	115	115	115	115	115	123
42	31.6	95	95	95	95	95	98	99	187	187	187	187	187	187	187	196
H.264/AVC, $\epsilon = 10^{-3}$																
28	37.3	15	15	15	15	15	15	15	45	45	45	45	45	45	45	52
34	33.2	50	51	52	49	51	54	54	106	106	106	106	106	106	106	114
38	30.7	111	113	115	111	114	119	119	187	187	187	187	187	187	187	197

E. Receiver Module

For streams transmitted with a no-drop policy (ND), see Section IV-B, frames that are predictive encoded with respect to a frame that had been lost in the multiplexing module may arrive at the receiver. For this fundamental evaluation, we consider two extreme types of receivers: a receiver that does not perform error concealment and drops such frames whose reference frames have not been received (denoted by RD), and a receiver that performs perfect error concealment and displays frames whose reference frames have not been received (RC). More specifically, for ND transmission to an RD receiver, both the video frame bits lost in the multiplexer and the video frames dropped in the receiver module are included in the evaluation of $P_{\text{loss}}^{\text{info}}$. On the other hand, for ND transmission to an RC receiver, there are no frame losses at the receiver and only the video frame bits lost in the multiplexer are included in the evaluation of $P_{\text{loss}}^{\text{info}}$.

V. STATISTICAL MULTIPLEXING EVALUATION

A. Evaluation Set-Up

1) *Video Sequences*: We consider the same five CIF resolution (352×288 pixel) 30 frames/s video sequences as used in [1], [23]; namely, the *Sony Digital Video Camera Recorder Demo* sequence with 17 682 frames, the first half hour of *Silence of the Lambs* with 54 000 frames, the first half hour of *Star Wars IV* with 54 000 frames, approximately 30 minutes of *NBC 12 News* with 49 523 frames, and the first hour of *Tokyo Olympics* with 133 128 frames.

2) *Video Encoding Set-Up*: We employ the same H.264/AVC and H.264 SVC encoders and settings as in [1], [23]. In summary, we employ the H.264/AVC encoder [37] in the Main profile with all compression tools enabled, including variable block sizes, three reference frames for the past and the future, Context Adaptive Binary Arithmetic Coding (CABAC), and Lagrangian based rate-distortion optimization (RDO). For H.264/AVC, we employ classical B frame prediction with the GoP structure *IBBBPBBBBPBBBB* (16 frames, with 3 B frames

per I/P frame) denoted by *G16-B3*, which was found to achieve very good rate-distortion (RD) efficiency for H.264/AVC in [1].

We employ the H.264 SVC encoder [31] with a dyadic B frame hierarchy, whereby the number of B frames β between successive key pictures (I or P frames) is $\beta = 2^k - 1$ for an integer number k , $k \geq 0$. For the H.264 SVC encodings (hierarchical B frames), we employ the GoP structure *IBBBBBBBBBBBBBBB* (16 frames, with 15 B frames per I frame) denoted by *G16-B15*, which gave very good RD efficiency in [1].

We consider quantization parameters that correspond to the range of average PSNR qualities from either 30/32 dB (acceptable quality) or 35 dB (good quality) to at least 40 dB (high quality).

3) *Multiplexer Set-Up*: From among the wide range of buffer management and scheduling policies, see e.g. [38]–[41], we consider the elementary taildrop policy with first-come-first-served scheduling, to assess the fundamental impact of the multiplexer buffer. For the buffered multiplexing experiments, we set the buffer capacity to $B = 192$ kByte, which was identified as the upper end of a recommended buffer size range for multiplexing H.264 SVC encoded video in [23].

4) *Simulation Structure*: For a given link bit rate C and given video encoding, we determine the maximum number of streams J_{\max} that can be simultaneously supported while meeting the constraint that the information loss probability is less than a small constant ϵ . For each simulation for a given number of streams J we run many independent replications, each with a new independent random set of offsets $\theta_m(j)$ (see Section IV-A) until the 90% confidence interval of the information loss probability is less than 10% of the corresponding sample mean.

B. Simulation Results

In this section, we present illustrative results from simulations for the five video sequences. We refer to [42] for the full set of results, which we can not include here due to space constraints. We present in Table I results for *Sony Demo* for the full range of considered multiplexing policies. We present illustrative sample results for the other four video sequences for the multiplexing

TABLE II
 J_{\max} VALUES FOR H.264 ENCODINGS FOR $C = 20$ Mbps AND $\epsilon = 10^{-5}$

Video	Enc.	QP	PSNR [dB]	bufferless mux.				buffered mux., $B = 192$ kByte				Stab. lim. $\frac{CT}{X_{\text{avg}}}$
				DD NP	DD TP	DD FP	ND-NP RC	DD NP	DD TP	DD FP	ND-NP RC	
<i>Star Wars</i>	SVC	34	40.3	80	80	83	84	170	170	170	170	191
<i>Star Wars</i>	AVC	28	41.0	58	62	63	63	106	106	106	107	128
<i>Olympics</i>	SVC	42	33.8	120	122	129	131	226	227	227	227	254
<i>Olympics</i>	AVC	34	35.6	59	65	66	66	112	113	113	113	138
<i>NBC News</i>	SVC	42	33.8	77	77	78	81	168	168	168	168	183
<i>NBC News</i>	AVC	34	33.1	40	43	43	44	86	86	86	86	101
<i>Silence</i>	SVC	42	36.0	240	247	251	253	426	426	426	426	477
<i>Silence</i>	AVC	34	37.6	128	128	138	139	230	231	231	232	290

policies with dependency drop (DD) and the ND-NP-RC policy in Table II. We observe from these tables that the number of priority levels has a relatively small effect on the maximum number of supported streams J_{\max} for bufferless multiplexing. More specifically, for a small number of multiplexed streams, all multiplexing policies and numbers of priority levels give the same J_{\max} . For scenarios with moderate to large numbers of multiplexed streams, more priority levels can slightly increase J_{\max} . However, even with full priority, which requires five priority levels for the considered AVC and SVC encodings, the increase in J_{\max} is typically less than 6–8% of the J_{\max} achieved with one priority level (NP). The largest increase in our extensive experiments [42] was 12% and occurred for *Olympics* for the AVC encoding with QP = 34, which is included in Table II. On the other hand, for buffered multiplexing there are generally no increases in J_{\max} with increasing number of priority levels (except for a few instances of one added stream in Table II).

Turning to the comparison of the different multiplexing policies for bufferless multiplexing, we observe from Table I that the ND-NP-RD policy has a slight tendency to support a smaller J_{\max} compared to the DD-NP policy. This is because frames whose reference frames have already been lost may still be transmitted with the ND-NP-RD policy, consuming bandwidth and thus increasing the chance that other frames are dropped. The DD-NP policy avoids this waste of bandwidth. However, the DD-NP policy requires that information about frames dropped in the multiplexing module is fed back to the drop module. The ND-FP-RD policy largely overcomes the drawback of the ND-NP-RD policy, achieving almost the same J_{\max} as the DD-FP policy.

We further observe from Table I for bufferless multiplexing that the policies with error concealment at the receiver (RC) achieve slightly higher J_{\max} than the policies with frame dropping at the drop module (DD) or receiver (RD). (Only the ND-NP-RC policy is considered in [23].) With the RC policies, only the losses due to buffer overflow in the multiplexing module are considered; the RC policies do not consider any losses due to frame encoding dependencies. For bufferless multiplexing, neglecting the frame encoding dependencies with the RC policy typically leads to J_{\max} values that exceed the J_{\max} for the other policies by no more than around 10% when the number of multiplexed streams is moderately large. Interestingly, with buffered multiplexing there are generally no differences between considering frame dependencies (in the DD and RD policies) and neglecting frame dependencies (with the RC policies).

Comparing bufferless with buffered multiplexing, we observe for both H.264/AVC and SVC that the relatively modest buffer of $B = 192$ kByte, which is less than three times the buffer space $CT = 83.3$ kByte of the bufferless multiplexer, significantly increases the number of supported streams J_{\max} . For relatively small to modest numbers of multiplexed streams, buffered multiplexing increases J_{\max} by a factor of two, three, or larger in some instances; whereas J_{\max} is increased by a factor of roughly 1.5 for large numbers of multiplexed streams. With buffered multiplexing, the number of supported streams comes typically within about 20% percent of the stability limit.

Examining the impact of the bit loss criterion ϵ , we observe that ϵ has a rather significant impact on the number of multiplexed streams for bufferless multiplexing, whereas the effect for buffered multiplexing is relatively weak. In additional experiments, we have examined the relationship between the information loss probability, which is required to be less than a prescribed ϵ , and the resulting reduction in the average video frame PSNR (in dB) using the offset distortion approach [43]. The offset distortion approach corresponds to frame-based receiver error concealment that replaces a frame with some lost bits or missing reference frame by the preceding completely received frame. We found that for $\epsilon = 10^{-5}$ the reduction in PSNR is less than 0.02 dB, for $\epsilon = 10^{-3}$ typically less than 0.06 dB, and for $\epsilon = 10^{-2}$ about 0.5 dB.

VI. CONCLUSIONS AND FUTURE WORK

We have conducted an extensive simulation study of statistical multiplexing of single-layer variable bit rate video encoded with H.264 with classical B frames (AVC) and hierarchical B frames (SVC) with different numbers of priority levels. For both classical and hierarchical B frames we have found that the increases in the numbers of supported streams achieved by introducing different priority levels for frames with different numbers of dependent frames are relatively small. For bufferless multiplexing the number of supported streams was typically increased by up to 8% (in some instances up to 12%). For buffered multiplexing, added priority levels did not increase the number of supported streams. On the other hand, buffered multiplexing achieved substantially higher numbers of supported streams than bufferless multiplexing.

One interesting direction for future research is to examine improvements to buffered multiplexing through active buffer management policies [41], i.e., to manipulate the multiplexer

buffer contents after the video frames have been placed in the multiplexer buffer.

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